

# MAX STOCK BROKING PVT. LTD.

## USER GUIDE FOR ONLINE DEMAT/TRADING ACCOUNT OPENING



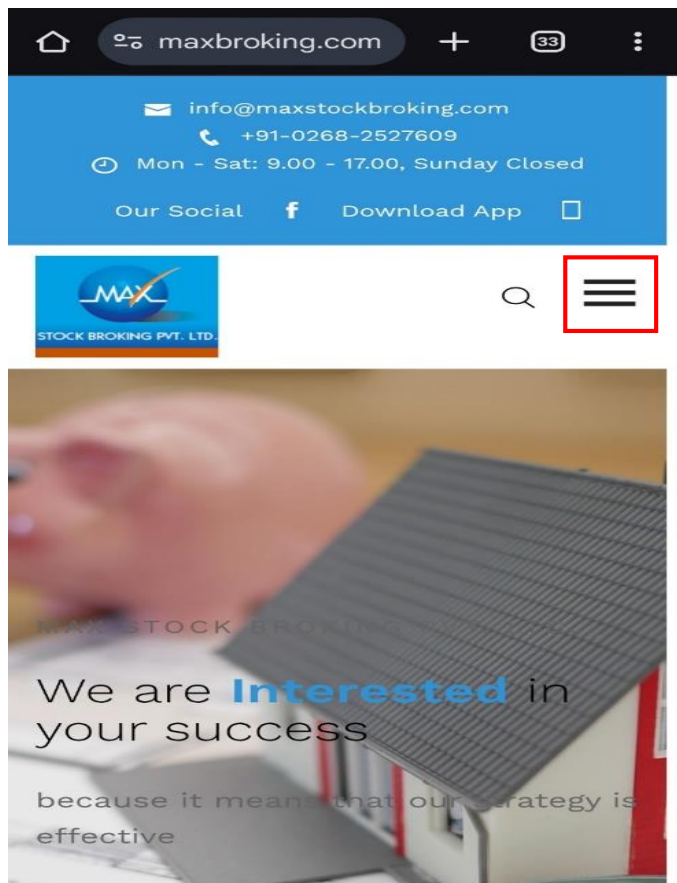
| STOCK BROKING PVT. LTD |



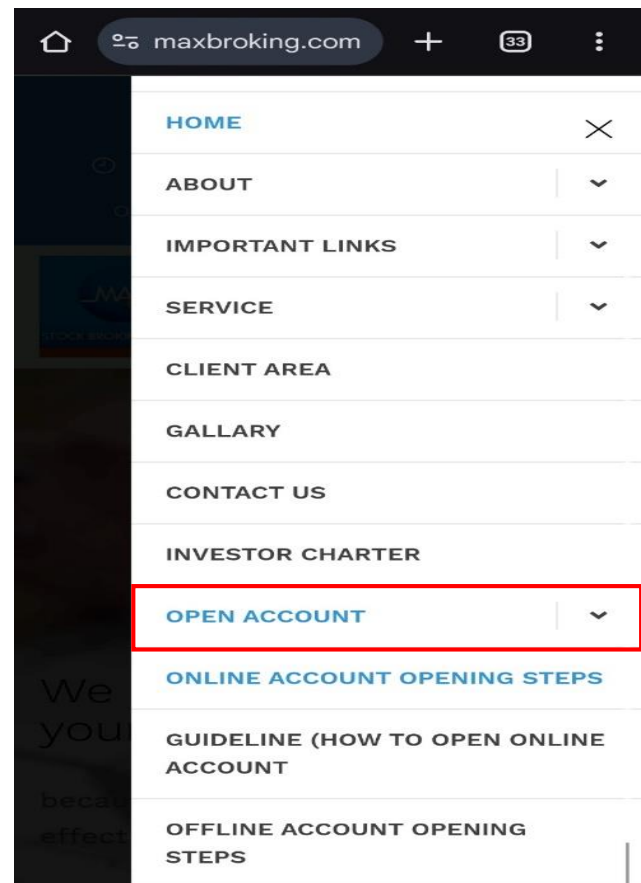
STOCK BROKING PVT. LTD.

For Opening Demat / Trading Account with us, Please Visit [www.maxbroking.com](http://www.maxbroking.com) on a device having Camera, Microphone and Location.

In the Navigation panel, Click on “ONLINE ACCOUNT OPENING” in the “OPEN ACCOUNT”.



Step 1



Step 2

Clients will be redirected to the Mobile number Verification Page. (Input your Mobile Number)

# 1. Mobile Verification.

User will get OTP (One-Time-Password) on the given mobile number fill the OTP and press "Continue" Button.

Open Your Trading Account with MAX STOCK BROKING PVT. LTD.

Signup Now  
Or track your existing application

Your 10 digit mobile no.  
Self

You will receive an OTP on your mobile number.

CONTINUE

\* Enable camera and location access on your browser to complete EKYC.

☒ I would like to open an account with MAX STOCK BROKING PVT. LTD. and give my consent to open the same through online mode as per NSDL/CDSL and NSE/BSE.

☒ I override my NDNC registration and authorize to MAX STOCK BROKING PVT. LTD. and its Authorize Representative to sending me promotional communication through WhatsApp, SMS and Call even if I'm registered under DND(NDNC).

RISK DISCLOSURES ON DERIVATIVES

☒ I read out 10 individual traders in equity Future and Options Segment, incurred net losses. On an average, loss makers registered net trading loss close to Rs 50,000. Over and above the net trading losses incurred, loss makers expended and additional 25% of net trading losses as transaction costs. Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost. SEBI study dated January 25, 2023 on "Analysis of Profit and Loss of Individual Traders dealing in equity Futures and Options (F&O) Segment", where in Aggregate Level findings are based on annual Profit / Loss incurred by individual traders in equity F&O during FY 2021-22. I have read and understood the above-stated Risk Disclosure and acknowledge the same.

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Step 3

If the applicant don't have there own Mobile Number then clients can choose from list of Relations.

Open Your Trading Account with MAX STOCK BROKING PVT. LTD.

Self  
Daughter  
Father  
Mother  
Son  
Spouse

\* Enable camera and location access on your browser to complete EKYC.

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CONTINUE

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Version- 1.1.668

Step 4





## 2. EMAIL VERIFICATION.

Users are asked to fill their Email Address.

Enter the OTP received in your Email Address.

What's your e-mail?

Enter Your Email

Self

You will receive an OTP on your email

CONTINUE

Please process your application!

Step 5

If the applicant don't have there own Email then clients can choose from list of Relations.

Open Your Trading Account with MAX STOCK BROKING PVT. LTD.

Self

Daughter

Father

Mother

Son

Spouse

\* Enable camera and location access on your browser to complete EKYC.

☒ I would like to open an account with MAX STOCK BROKING PVT. LTD. and give my consent to open the same through online mode as per NSDL/CDSL and NSE/BSE.

☒ I override my NDNC registration and authorize to MAX STOCK BROKING PVT. LTD. and its Authorize Representative to sending me promotional communication through WhatsApp.

Top

What's your e-mail?

Enter 4 Digit OTP Here.

Change Email

CONTINUE

00:39

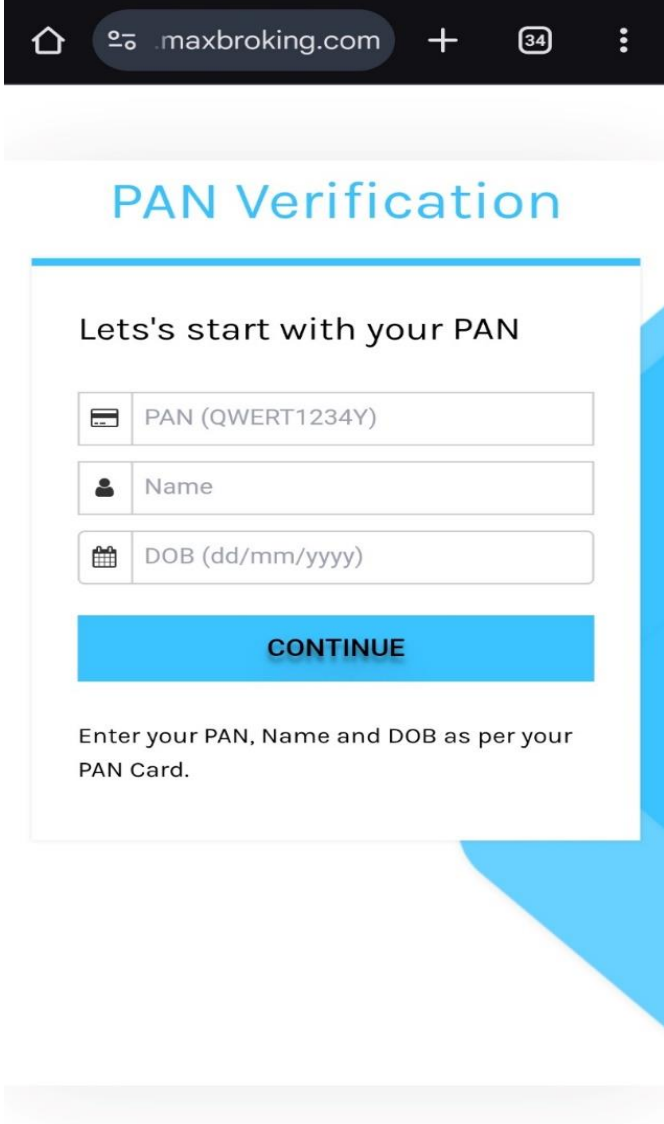
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Step 6

### 3. PAN VERIFICATION.

**After Verifying Mobile Number and Email Address, next step is to verify PAN.**

**User are advised to Enter Pan number, Name (As per PAN Card) and Date Of Birth.**



The screenshot shows a web browser with the address bar displaying 'maxbroking.com'. The page title is 'PAN Verification'. The main content area has a heading 'Lets's start with your PAN' followed by three input fields: 'PAN (QWERT1234Y)', 'Name', and 'DOB (dd/mm/yyyy)'. Below these fields is a blue 'CONTINUE' button. At the bottom, there is a note: 'Enter your PAN, Name and DOB as per your PAN Card.'

Step 7



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# 4. Exchange Details / Segment Selection.

Clients are advised to select / Tick Segments as per their Trading requirement.

Equity and FNO (Derivative).

maxbroking.com

34

Exchange Detail

☒ Enable Equity

☒ Enable FNO

Please select the segment(s) in which you want to trade

Charges for Equity

0

Charges for F&O

0

Account Opening Charges

0

Total Amount

0

After click on continue button you will receive a payment link to your registered mobile no. Please go through the link for payment.

CONTINUE

Disclaimer

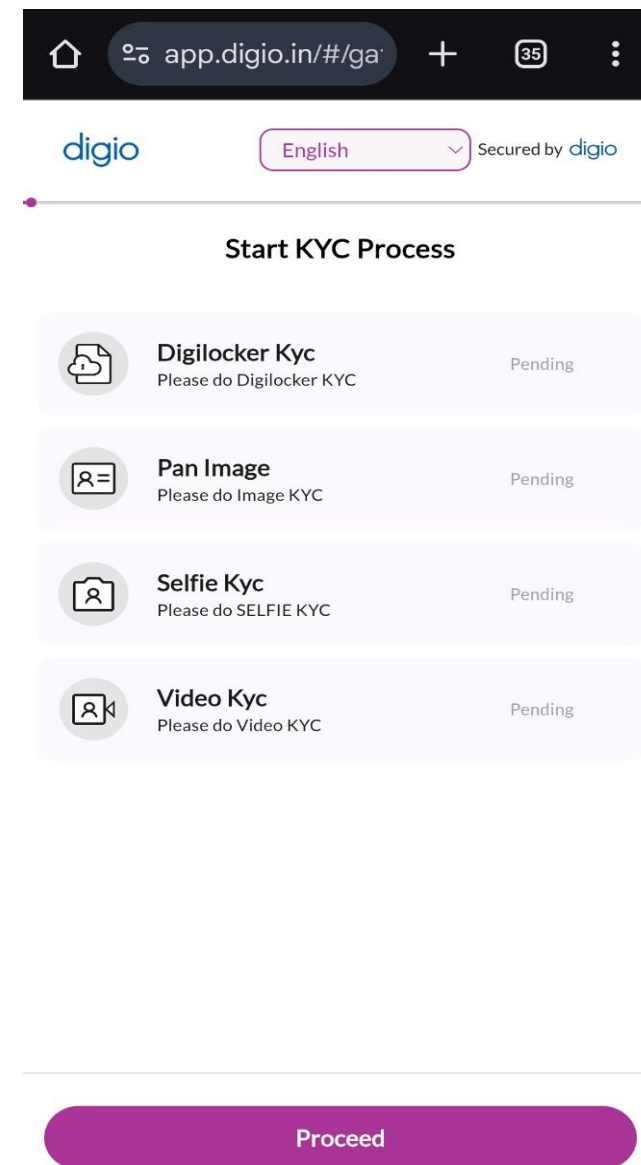
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## 5. Aadhaar Verification Using DIGILOCKER



Step 9

As soon as Client click on “Connect to Digilocker” on Step 9 they will be redirected to the Digio KYC Process page as shown in Step 10.



Step 10





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Step 11 : is Client Consent Form requested by Digio.

Ensure the checkbox is ticked before clicking on “Allow with aadhaar OTP”.

The screenshot shows the Digio app interface. At the top, there's a header with the Digio logo, a language dropdown set to 'English', and a 'Secured by digio' indicator. Below this is the Digilocker logo and the text 'Digilocker KYC Please do Digilocker KYC'. A paragraph of text states: '\* I provide my consent to share my Aadhaar Number, Date of Birth and Name from my Aadhaar eKYC Information with the Income Tax Department, All States for the purpose of fetching my PAN Verification Record into DigiLocker.' At the bottom, there is a checkbox that is checked, followed by the text: '\* By proceeding further I hereby authorize Digio to pull my documents from Digilocker and share with MAX STOCK BROKING PVT LTD'. A large purple button at the bottom is labeled 'Allow with Aadhaar OTP'.

Step 11

After User Click on “Allow with aadhaar OTP”

-> UIDAI will send an OTP to mobile number registered with Aadhaar Card once you get the OTP, fill it in the textbox and click “SUBMIT”.

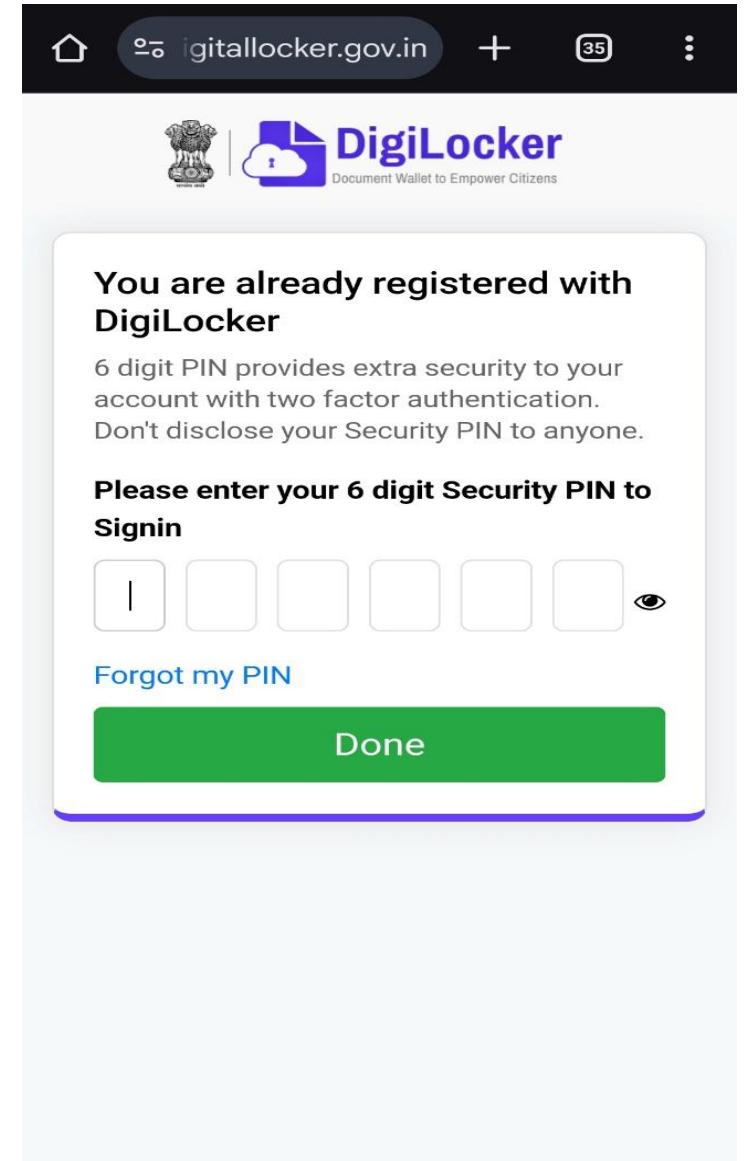
-> If you don’t receive any OTP then select “Resend OTP” situated below “SUBMIT” button.

The screenshot shows the DigiLocker app interface. At the top, there's a header with the DigiLocker logo and the text 'Document Wallet to Empower Citizens'. Below this is a box titled 'Verify Aadhaar OTP'. Inside this box, a green message states: 'UIDAI has sent a temporary OTP to your mobile ending in \*\*\*\*\*0092(valid for 10 mins).' Below the message, it says 'Please enter OTP to complete verification' followed by a text input field. Below the input field, it says 'Didn't receive OTP? Wait few minutes for the OTP to arrive. Do not refresh or close!'. At the bottom of the box is a green button labeled 'Submit'. Below the button, it says 'Didn't get the OTP? Resend OTP'. At the very bottom of the screen, there is a link that says 'Create your account using mobile'.

Step 12



After validating OTP from your Aadhaar registered mobile number you will see this page .



The screenshot shows the DigiLocker app interface. At the top, there is a navigation bar with a home icon, the URL "digitallocker.gov.in", a plus icon, a notification badge with "35", and a menu icon. Below the navigation bar is the DigiLocker logo, which includes the Government of India emblem and the text "DigiLocker Document Wallet to Empower Citizens". The main content area displays a message: "You are already registered with DigiLocker". Below this message, it states: "6 digit PIN provides extra security to your account with two factor authentication. Don't disclose your Security PIN to anyone." The next instruction is "Please enter your 6 digit Security PIN to Signin". This is followed by a row of six input boxes for the PIN. The first box contains a vertical line "|". To the right of the input boxes is an eye icon. Below the input boxes is a link that says "Forgot my PIN". At the bottom of the form is a large green button labeled "Done".

Step 13



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-> Users are supposed to perform selfie KYC by taking a live photo through the page provided by Digio.

-> Note: Client must allow browser popup permission in their device.

Step 14

-> After Selfie KYC comes Video KYC, in which clients are advised to remove specs and perform it under proper light.

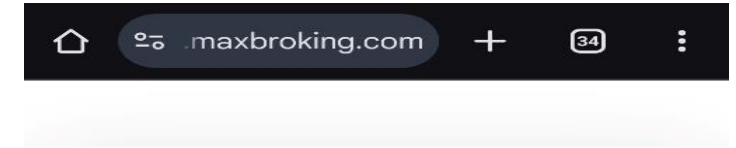
-> Note: please allow microphone and camera permissions in the mobile browser.

Step 15

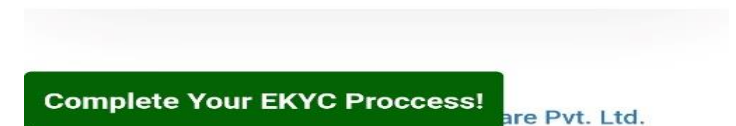
-> Once Selfie and Video KYC is completed then clients will be directed to this page which have “NEXT” button and a statement showing the status of your Aadhaar KYC process “Success or Failed”.

-> Overview of Upcoming Processes :-

- Other Details.
- Bank Verification.
- Nominee Details.
- Confirm Nominee Details.
- Upload Documents (Signature & Income Proof).



**SUCCESS! Aadhaar  
Detail Verify.**



Step 16



## 6. Other Details Form.

-> Fill out your father's/Spouse Name and Mother's Name.

-> Select Your Marital Status and Residential Status and Citizenship.

-> Clients can opt in for DIS (Delivery Instruction Slip) (May apply courier charges).

(\*) Fields are Mandatory.

(\*)Fields are Mandatory.

Other Details

Father/Spouse  
Father

\* Father Name

\* Mother Name

Maiden Name

\* Marital Status  
- SELECT -

Residential Status  
Resident Individual

☐ Opt in for DIS

\* Citizenship  
India

Step 17

-> Select Occupation type, PEP stands for Politically Exposed Person( if you are politician than select Applicable , otherwise select Not applicable.

-> Select your Trading Experience, Account Settlement period whose options are Monthly or Quarterly.

-> Select Your Annual Income From given ranges.

-> Client have to declare Yes/NO if they are tax resident of any other country other than India.

\* Occupation  
Private Sector

PEP  
Not Applicable

Trading Experience  
No Experience

Account Settlement  
Quarterly

Income Detail  
\* Annual Income  
Between Rs 1 to 5 Lakh

Annual Income Date  
27/05/2025

FATCA Declaration  
Are you a tax resident of any country other than India?  
No

CONTINUE

It's great to have you back! Please process your application

Step 18

## 7. Link Bank Account.

### Link Bank Account

Add your bank details from which you will transact funds for trading.

Account Type  
Saving

\*IFSC

MICR

Bank Name

City

State

PIN Code

\*Cheque Print Name

\*Bank Account No

Confirm Bank Account No

VERIFY WITH PENNY DROP

-> As soon as Client Provide IFSC of their Bank Account which they want to add for fund Deposit / Withdraw then our System will fetch and fill other Details of your bank in the MICR, Bank Name, City, State and PIN CODE textboxes.

-> Users have to fill Bank Account Number by their own.

-> Click on “VERIFY WITH PENNY DROP” button.(our system will initiate a 1 rs transaction from our Organization’s Bank Account).

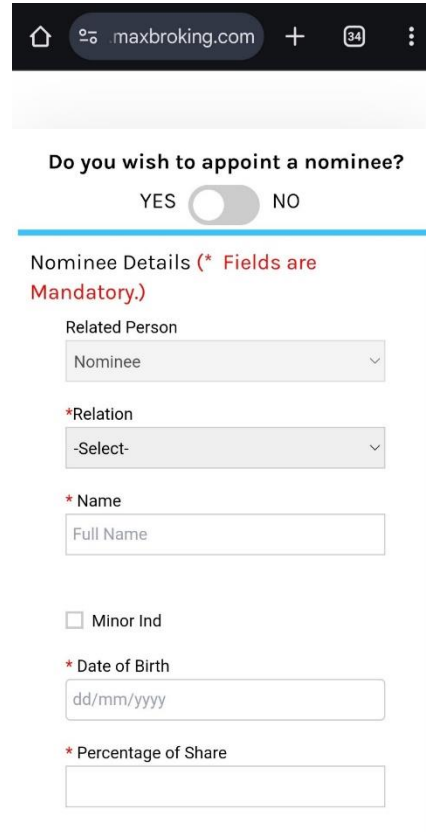
## 8. Nominee Details.

-> Clients have to select Relation of Nominee from drop down list.

-> Fill the Full Name of your Nominee.

-> Check the Minor Individual box if Nominee is Minor (Below 18 years).

-> Enter the Date of Birth and percentage of share to allot the Nominee.



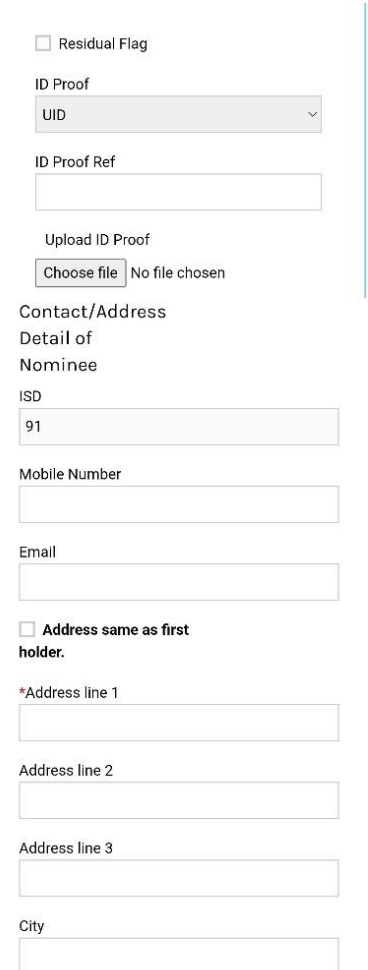
Step 20.

-> Check the Residual Flag.

-> Select The ID Proof you are about to upload and Fill its Ref Number, then click on “choose file” to upload photo of id card.

-> Fill the Contact / Address Details of Nominee including mobile number and Email.

-> If Nominee’s Residential address is same as the applicant then tick the checkbox named “Address same as first holder.”



Step 21.



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-> After filling out Nominee Details click on the “Add Nominee” button and validate the Nominee information.

-> After Validating the information click on “Submit” Button.

City

\*District

PIN Code

\*State

-Select-

Other

\*Country

India

Add Nominee

Nominee Detail

Edit	NomineeSerialNo	NomineeType	NomName	S
	1	N	RAJAN	10

SUBMIT

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Step 22.





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## 9. Upload Documents.

-> Clients have to Sign on a blank page and take a picture of signature then click “choose file”.

-> Note : Maximum upload file size is 45 KB and Supported Formats are “jpeg”, “jpg” and “png”.

-> If Client wish to trade in Futures and Option Segment then Select the income proof type from the given list.

-> Note : Maximum Upload file size is 5 mb and Supported Formats are “jpeg”, “jpg”, “png” and “PDF”.

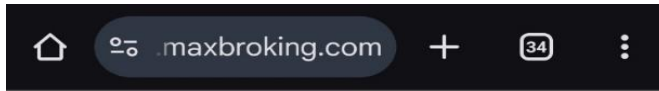
Step 23.

-> Options of Income proof type are mentioned in the picture.

-> Clients can upload any of proofs from the Step 23\_a picture as Income Proof.

Step 23\_a.

# End of KYC Process



**Thank you!** Your online KYC process has been successfully completed.

Our team will now review your details and get in touch with you shortly for the e-signature and to complete the account activation process.

**-> This is the last page of process and it shows All account opening steps are successfully filled and submitted by client side.**

**-> Now Our Team will Review your details and contact you for Aadhaar OTP Based e-signature and to complete the account activation process.**

**-> If you have any query then feel free to ask at +91-9913027610 .**

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